

NEW UNITED STATES UTILITY PATENT APPLICATION
under 37 C.F.R. 1.53(b)

EV323344002US

Atty. Docket No. 11187-00016

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10: The undersigned hereby certifies that this United States Patent Application and all papers noted herein as being attached, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 C.F.R. § 1.10 today, **June 27, 2003**, and is addressed to: Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

By

Express Mail Label No.: EV 323344002 US

21906 U.S. PTO
10/609120
06/27/03

Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

Enclosed herewith is a new patent application and the following papers:

Inventors (or application identifier): **Wing Ming Keung, Bert L. Vallee, and Guangyao Gao**

Title of Invention: **COMPOUNDS USEFUL FOR THE INHIBITION OF ALDH**

1. ☒ Specification 68 pages (including specification, claims, abstract) / 32 claims (8 independent)
2. ☒ Declaration/Power of Attorney is:
☐ attached in the regular manner.
☒ NOT included, but deferred under 37 C.F.R. § 1.53(f).
3. ☒ This Application has no drawings.
4. ☐ Preliminary Amendment.
5. ☐ Information Disclosure Statement
☐ Form 1449
☐ A copy of each cited prior art reference
6. ☐ Assignment with Cover Sheet.
7. ☒ Priority is hereby claimed under 35 U.S.C. § 119 based upon the following application(s) (provisional and foreign applications):

Country	Application Number	Date of Filing (day, month, year)
US	60/391,907	27 JUN 2002

8. ☐ Priority document(s).

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9. ☒ Small Entity Status is being hereby claimed.
10. ☐ Nucleotide and/or Amino Acid Sequence Submission.
☐ Computer Readable Copy.
☐ Paper Copy (identical to computer copy).
☐ Statement verifying identity of above copies.
11. Calculation of Fees:

FEES FOR	EXCESS CLAIMS	FEE	AMOUNT DUE
Basic Filing Fee (37 C.F.R. § 1.16(a))			\$750.00
Total Claims in Excess of 20 (37 C.F.R. § 1.16(c))	12	18.00	\$216.00
Independent Claims in Excess of 3 (37 C.F.R. § 1.16(b))	5	84.00	\$420.00
Multiple Dependent Claims (37 C.F.R. § 1.16(d))	0	280.00	\$0.00
Subtotal - Filing Fee Due			\$1,386.00
	MULTIPLY BY		
Reduction by 50%, if Small Entity (37 C.F.R. §§ 1.9, 1.27, 1.28)	0.50		\$693.00
TOTAL FILING FEE DUE			\$693.00
Assignment Recordation Fee (if applicable) (37 C.F.R. § 1.21(h))		40.00	\$0.00
GRAND TOTAL DUE			\$693.00

12. PAYMENT is:
- ☐ The Commissioner is authorized to debit the instant filing fee, in the amount of \$_____, and any other fees during the pendency of this application under 37 C.F.R. §§ 1.16, 1.17 and 1.18, to Deposit Account No. 19-0733. A duplicate copy of this letter is enclosed.
- ☒ not included, but deferred under 37 C.F.R. § 1.53(f)
13. All correspondence for the attached application should be directed to:

John P. Iwanicki
Customer Number 22910
BANNER & WITCOFF, LTD.
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14. Other: Application Data Sheets (4 pages); return postcard.

Date:

June 26, 2003

By:

Gregory J. Cohan
John P. Iwanicki
Reg. No. 34,628

Reg. NO. 40,959 for

JPI/lh

Enclosures